Planar Tetracoordinate Carbon

Sir:

The tetracoordinate tetrahedral carbon has magnificently served biological systems for millions of years and our imaginations for but a century. We here open the problem of stabilizing tetracoordinate planar carbon. It would seem too much to hope for a simple carbon compound to prefer a planar to a tetrahedral structure. Our more modest goal is to consider how to stabilize a planar geometry so that it could serve as a thermally accessible transition state for a classical racemization experiment.

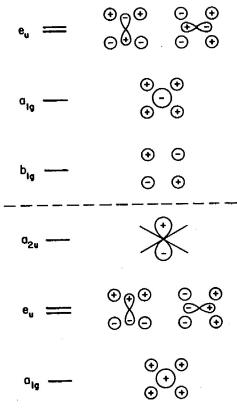


Figure 1. The molecular orbitals of planar methane. Levels below the dashed line are occupied. The representation of the en levels is of course not unique.

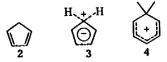
A rational procedure begins with an analysis of the electronic structure of planar methane. We form a normal set of sp² hybrids at the carbon. Two hybrids engage in normal two-electron two-center bonds with two hydrogens, using up two of the four carbon valence electrons. The third hybrid participates in a two-electron three-center bond² with the remaining two hydrogens. This three-center bond utilizes only the hydrogen electrons. The remaining two valence electrons of carbon are placed in the 2p orbital perpendicular to the molecular plane (1). Resonance among equivalent

structures with different relative placement of threecenter and two-center C-H bonds achieves equivalence of all hydrogens. Alternatively the molecular orbitals of planar CH₄ are shown in Figure 1.

The following characteristics of a planar methane emerge from either picture. (1) All C-H bonds are weaker than in tetrahedral methane. (2) Since the three-center bonding uses only H electrons there is considerable electron transfer from H to C. (3) The planar carbon atom possesses a pure 2p lone pair perpendicular to the molecular plane. (4) The transformation of tetrahedral to planar methane is a symmetry-allowed process for either a twisting $(T_d \rightarrow D_2 \rightarrow D_{4h})$ or a squashing $(T_d \rightarrow D_{2d} \rightarrow D_{4h})$ pathway.

An extended Hückel (EH) calculation³ makes tetrahedral CH₄ more stable than a square-planar geometry with identical bond lengths of 1.10 Å by 5.5 eV. A CNDO⁴ computation yields 8.1 eV for the same quantity. An approximate *ab initio* calculation⁵ produces a barrier of 10.8 eV. To reduce lifetimes for racemization from eons to the experimentally accessible scale the planar geometry must be stabilized or the tetrahedral destabilized. The electronic structure of planar CH₄ suggests the ways in which stabilization may be achieved.

Delocalization of the lone pair may be accomplished by attaching substituents to carbon which are good electron acceptors, as in $C(CN)_4$. The planar-tetrahedral energy difference, ΔE , is reduced to 3.4 eV.⁶ Alternatively we may incorporate the lone pair as part of a $4n + 2\pi$ electron system, as in the planar geometry of cyclopentadiene, 2. ΔE for 2 is 4.2 eV⁷ and the planar



geometry is best described as a σ cation of an aromatic anion, 3. In a model benzenonium ion 4, ΔE is reduced to 2.9 eV. Substitution of H by less electronegative groups lowers ΔE still further—in $C(BH_2)_4$ to 1.8 eV, in $C(SiH_3)_4$ to 2.9 eV. In the latter case 3d orbitals on Si were included; Si then acts as a σ donor and π ac-

(2) W. N. Lipscomb "Boron Hydrides," W. A. Benjamin, New York, N. Y., 1963, p 27.

(3) R. Hoffmann, J. Chem. Phys., 39, 1397 (1963); 40, 2474, 2480, 2745 (1963); Tetrahedron, 22, 521, 539 (1966). A Slater exponent of 1.3 for hydrogen was used in the present work.

(4) J. A. Pople, D. P. Santry, and G. A. Segal, J. Chem. Phys., 43, S129 (1965); J. A. Pople and G. A. Segal, ibid., 43, S136 (1965); 44, 3289 (1966).

(5) H. J. Monkhorst, Chem. Commun., 1111 (1968). This calculation predicts approximately equal bond lengths in T_d and D_{4h} methane. (6) This and all subsequent values of ΔE quoted are from extended Hückel calculations.

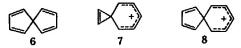
(7) The actual value could be lower. We did not optimize the position of the sterically hindered hydrogens in the planar geometry.

⁽¹⁾ J. H. van't Hoff, "Voorstel tot uitbreiding der tegenwoordig in de scheikunde gebruikte strukuurformules in de ruimte," Utrecht, 1874; J. A. LeBel, Bull. Soc. Chim. Fr., 22, 337 (1874).



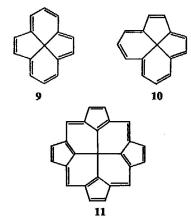
ceptor. The hypothetical molecule 5 combines these factors with destabilization of the tetrahedral form. Further favorable effects of an electronegativity differential are observed on Li substitution or replacement of C by N⁺.8

New types of stabilization in planar systems with tetracoordinate carbon may be probed with standard Hückel calculations. Thus the neutral spirononatetraene 6 and the cations 7 and 8 in their planar geometries are stabilized 10-, 8-, and 10π -electron systems, respectively, superimposed on a positively charged σ core. Their highest occupied and lowest unoccupied



MO's are at +0.62 and -0.62β for 6, +0.37 and -1.00 for 7, +0.62 and -0.29 for 8. In an extended Hückel calculation on 7 we find the tetrahedral form favored by only 1.1 eV. Chiral derivatives of 6 are known but do not racemize readily; in these cases benzo fusion makes worse the steric problems apparent in a planar geometry of 6.

Still more promising systems are created when a central carbon atom is fused to an annulene perimeter. For example, the chiral molecules 9 and 10, highly



strained in their normal geometries, possess stable 16π -electron systems when planar. The porphyrin-like hydrocarbon 11 is also stabilized. ¹⁰

For further prospects we turn to model transition metal complexes for the type 12, e.g., M = Fe, X = CO.



The cyclopentadiene is acting as a four-electron ligand. If the group X is modified or removed, so that a six-electron donor is needed to satisfy the electronic demands of M, there could occur a rotation of the CY₂ group to fulfill this requirement.

Acknowledgment. We are grateful to H. Wynberg for the stimulus to this work, to M. Gheorghiu for some preliminary studies, to P. Klosterman for help with the calculations, to W.-D. Stohrer for the suggestion of 4, and to R. B. Woodward and C. Levin for discussions. This work was supported by the National Science Foundation (GP 8013, GP 6180) and the National Institutes of Health (GM 13468).

(8) In any practical example a planar transition state for racemization must compete with pathways such as facilitated heterolytic or homolytic cleavage followed by recombination. The relative merits of the various mechanisms are, we feel, too delicate a question to evaluate given the available computational schemes. They must, of course, be considered in practice.

(9) Vespirenes: G. Haas and V. Prelog, Helv. Chim. Acta, 52, 1202 (1969). The substituted achiral system 6 is also known: E. T. McBee, G. W. Calundann, and T. Hodgins, J. Org. Chem., 31, 4260 (1966).

(10) As a preliminary criterion for stability we take the absence of nonbonding molecular orbitals, the occupation of only bonding orbitals, and the presence of a sizable gap between highest filled and lowest unfilled molecular orbitals. Criteria for stabilization may be derived (C. F. Wilcox, to be published); it suffices to say at this time that most likely candidates fail these tests. If we denote these molecules by the rings involved (for example 6 would be [5,5], 7 = [3,6], 9 = [5,6,5,6], 10 = [5,5,6,6]) then other stabilized species we have found are [5,3,5], [5,7,5], [3,5,5,5], [3,5,6,5], and [5,6,6,6].

* To whom correspondence should be addressed.

Roald Hoffmann*

Department of Chemistry, Cornell University Ithaca, New York 14850

Roger W. Alder

School of Chemistry, University of Bristol Bristol, England

Charles F. Wilcox, Jr.

Department of Chemistry, Cornell University Ithaca, New York. 14850 Received April 15, 1970